

The supply and delivery of various Control & Instrumentation and Electrical Cables and related items as per scope of work at Grootvlei Power Station for as an when required for a period of 5 years

Compiled by : Gloria Tshikomba & Ntholeng Likotsi

Dashboard Indicators											
Highest Consequence Rating: (manual input)					Note: Once printed, insert in contract file.						
Highest Likelihood Rating: (manual input)											
Risk/contingency as (%) of the contract value: (manual input)											
Risk/contingency % as monetary value (R): (manual input - correspond with Contract Strategy & Cost Analysis)											
#	Risk Statement	Y/N	Consequence Description	Consequence Rating	Likelihood	Potential Exposure	Risk Control	Priority for Attention	Contingency	Treatment/Control Strategy	
	Link to Reference Document			Table 4	Table 5	Table 3	Table 7	Table 8			
1	Were interfacing contracts identified?	N	N/A								
2	Have KPI's been identified in the contract?	N	N/A								
3	Was IBI concidered in the contract?	Y	It can lead to poor performance and safety incidents	1	E - 99% Probability	Departmental Impact	Fully Effective	IV - Ongoing	Contractor to be given IBI awareness	Contractor to be given IBI awareness	
4	Have the contract considered spares holding?	Y	Delays in spares delivery	1	E - 99% Probability	BU Impact	Partially Effective	IV - Ongoing	The contractor to supply correct spares required and as indicated on the contract	The contractor to supply correct spares required and as indicated on the contract	
5	Was supplier infrastructure establishment considered?	N									
6	Does the contract cater for SHE/plans?	Y	Contractor's employees might get injured when delivering	2	E - 99% Probability	BU Impact	Fully Effective	IV - Ongoing	Employer safety officer to review safety file of the Contractor on regular basis	The Contractor shall submit safety plan which comply to safe work procedure for employer	
7	Does the contract cater for risk assessments?	Y	Injuries and plant damage	2	D - >50% Probability	Departmental Impact	Fully Effective	IV - Ongoing	Supply Manager to review task based risk assessment during deliveries	The contractor shall submit risk assessment forms to the Supply Manager.	
8	Has a training program been specified?	N									
9	Is there any risk in the contract strategy e.g. term, service, supplier?	N									
10	PSR responsibility	N									
11	Will decision making by the supplier impose a risk to the organisation?	Y	Damage to the component, cost escalations and delayed execution	None	A - <5% Probability	BU Impact	Partially Effective	IV - Ongoing	Contractor to handle spare equipment/goods with care	Contractor to handle spare equipment/goods with care	
12	Were medical/induction and associated costs considered?	N									
13	Who will be responsible for access permit/costs?	Y	There will be delay in delivery of goods if not catered for.	1	E - 99% Probability	Departmental Impact	Fully Effective	IV - Ongoing	Contractor drivers to do induction	Contractor drivers to do induction	
14	Were the suppliers qualification and experience defined or requested?	N									
15	Were quality requirements/objectives set in the contract?	Y	Poor workmanship and delays	2	E - 99% Probability	BU Impact	Partially Effective	IV - Ongoing	All reports to be verified before submission	The contractor must adhere to quality check plan,use IBI tool (job observation, pre-job debriefs etc)	
16	Was CIDB compliance specified in the contract?	N									
17	Was a implementation program requested in the contract?	Y	Delay in scope execution	1	B - >5 Probability	Departmental Impact	Fully Effective	IV - Ongoing	The contractor should supply a programme schedule indicating time lines and delivery dates	The contractor should supply a programme schedule indicating time lines and delivery dates	
18	What are the license fees considerations?	N									
19	Does the contract implementation method pose a risk to the organisation?	Y	If material used is of poor quality then it can affect the performance of the goods	3	D - >50% Probability	BU Impact	Partially Effective	IV - Ongoing		Goods must be Quality control checked and of good quality when delivered, and must comply with the SABS ISO 9001	
20	Any risk for contract to be up and running?	N									
21	Does the contract pose a risk for loss of opportunities?	N									

22	Was the learning curve for staff been considered?	N								
23	Is there any risk of double processing or duplication of work or in contract?	N								
24	Is this contract dependant on additional external consultants/ costs?	N								
25	What interfacing equipment was identified not forming part of this contract e.g. LAN?	N								
26	Does the contract pose a threat for downtime or service disruption?	Y	If the activities from the contract are not monitored this may lead to poor maintenance which can cause down time	3	E - 99% Probability	BU Impact	Fully Effective	IV - Ongoing	The supplier to ensure that goods are delivered as per delivery schedule.	Continuously monitoring of the activities by the Employer
27	Were the quality of deliverables specified?	Y	If C 3.1 Scope of work from the NEC contract is not followed, it may lead to incorrect deliveries	2	D - >50% Probability	BU Impact	Partially Effective	IV - Ongoing	Employer to do quality check on all goods delivered.	Continuously monitoring of the activities by the Employer
28	Are there any threat of safety with contract deliverables?	Y	If Contractor does not comply with Employer safety regulations, there could be incidents	3	E - 99% Probability	BU Impact	Fully Effective	IV - Ongoing	Compliance with SHEQ requirements	Compliance with SHEQ requirements
29	Was the acceptance criteria specified?	Y	If the awarded Contractor have not met the criteria threshold they could end up with poor quality goods	1	A - <5% Probability	BU Impact	Fully Effective	IV - Ongoing	Contractor must meet technical evaluation criteria's minimum threshold and continues to verify quality documents of the components	Contractor must meet technical evaluation criteria's minimum threshold and continues to verify quality documents of the components
30	Will this contract impact other contracts/projects?	Y	If the quality of goods supplied is poor, the station maintenance contract will experience more defects meaning there will be more breakdowns	3	D - >50% Probability	BU Impact	Fully Effective	IV - Ongoing	Project timelines to be shared with all affected parties	Continuously monitoring of the activities by the Employer
31	Was the cost of implementation considered?	N								
32	Was the cost of training considered?	N								
33	Are there a risk for costs in mistakes?	N								
34	Does the contract pose in financial risk or exposure?	N								
35	Are there any risk i.t.o. skills and availability?	N								
36	Were the employment requirements considered?	N								
37	Does the contract require a change management component?	N								
38	Will the contract have an impact on working conditions?	N								

39	Will the contract have an effect on existing job descriptions?	N								
40	Could the contract effect staff morale?	N								
41	Does the contract specify compliance to specific standards?	N								
42	Could the contract lead to negative reactions?	N								
43	Was the cost of maintenance considered?	N								
44	Is a Disaster Recovery Plan required?	Y	Delays in manufacturing and delivery	2	B - >5 Probability	Beyond Eskom Impact	Fully Effective	IV - Ongoing	It is included in the contract under changes in the law and Eskom procedures and processes will be followed.	Delivery plan will be agreed upon to ensure continuous of supply of goods due to the nature of the business
45	Could there be a risk to supplier vulnerabilities?	N								
46	Could the contract/ data result in lack in customer service?	N								
47	Were legal requirements captured or referred to?	Y	Safety, Health and Environmental incidents / Legal contraventions	1	B - >5 Probability	BU Impact	Partially Effective	IV - Ongoing	Legal requirement specified on the contract	Legal requirement specified on the contract
48	Could industrial action have an effect on contract execution/steps taken?	Y	Delay on the execution of work due to no access to site	3	C - >20% Probability	BU Impact	Fully Effective	IV - Ongoing	The station has a EP plan to deal/manage strike	The station has a EP plan to deal/manage strike
49	What role will weather conditions play in the execution of the contract?	N								
50	Is the contract period the best option?	N								
51	What communications risk exist for the contract?	N								
52	Are there any procurement risks?	Y	If the contract is not placed on time defects to the plant will increase and affect performance of the plant	2	D - >50% Probability	Departmental Impact	Fully Effective	IV - Ongoing	Procurement process to be followed and contract to be awarded to the qualified contractor	Procurement process to be followed and contract to be awarded to the qualified contractor
53	Does time constraints pose a risk to the contract?	Y	If the contract is not placed on time defects to the plant will increase and affect performance of the plant	3	E - 99% Probability	BU Impact	Fully Effective	IV - Ongoing	The contract must be in place to execute this activity	The contract must be in place to execute this activity
54	Any risks in scope increasing/	N								
55	Any risk in the costs/ analysis of the contract?	Y	The contract cost may increase due to cataloguing of new items.	1	C - >20% Probability	Departmental Impact	Partially Effective	IV - Ongoing	Contingency cost is catered for in the contract	Ensuring that obsolete stock process is adhered to avoid exhausting funds

Triggers	Assignee
Near Miss	Supply Manager/Contractor
Non adherence to delivery schedule	Supply Manager/Contractor
Near Miss	Supply Manager/Contractor
Near Miss	Supply Manager/Contractor
Quality of equipment/goods	Contractor
Supplier needing access to site	Supply Manager/Contractor
Poor performance	Contractor
Poor performance	Contractor
Poor performance	Contractor

[illegible]

Nature/ Changes in the law of South Africa	Supply Manager/Contractor
Non compliance	Supply Manager
Communication from Contractor Employees,Eskom employees or GVL Management	Contractor/Supply Manager
Delays on delivery	Supply Manager
Unavailability of the plant	Procurement/Supply Manager
Plant modification	Supply Manager