

	Annexure A: Supplier Risk Category	Template Identifier	240-43921898	Rev	6
		Document Identifier	240 - 77433139	Rev	3
		Effective Date	November 2021		

1. Supplier Risk Category - High Risk

2. Scope: Clarifier Cleaning

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Signed: *SMatsebe*

High risk
• Acknowledgement of Eskom's rules and requirements (Annexure B) • Registration with South African Police Services: Proof of registration as Second-hand dealer • Health and Safety plan/OHS manual (Duvha site and scope specific -NOT GENERIC • Safe Work Procedure for cleaning activity • Safe work procedure for working in confined space involving water environment • H&S costing -Breakdown costs (includes PPE, skills enhancement SHE Training (excludes Client induction) and related occupational hygiene surveys) • Baseline SHE risk assessment (Scope specific and not generic. Baseline Risk assessment to address all the OHS risks) Risk Assessment to contain legal and other references for every Hazard and Risk identified. • Valid letter of good standing or equivalent (LOGs) • Proof of SHE competency (certified certificates not less than 3 months ago) - Site Manager (Legal Liability or OHS Act) - Supervisor– Hazard Identification and Risk Assessment (HIRA) certificate - Safety Officer – Diploma in Safety Management of at least 1 year or SAMTRAC of 3 years in similar industry.

The list of services and commodities listed in the above-mentioned categories is merely the foremost list and is not exhaustive. For any other services and commodities that are not included in the above categories, a risk assessment must be conducted by the project manager/project leader/contract manager/end-user, together with the responsible SHE functionary, in order to apply the correct risk category. This is applicable to local and international companies.

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Eskom requires that an organisation that provides services to us is COID registered/compliant and therefore must submit a letter of good standing with the Compensation Fund or with a licensed compensation insurer, i.e. Rand Mutual Assurance Company and Federal Employer's Mutual Association. The letter of good standing must reflect the name of the company. Only an employer that has obtained a certificate of exemption from the Department of Labour will be exempt from this requirement. We will also accept a policy of insurance obtained from a mutual association for the full extent of his potential liability in terms of the COID Act.

The COID Act provides that where a mandatory procures the services of a contractor/labour broker such contractor/labour broker must register with the Commission and pay assessments. Failure to register or pay the assessments means that the mandatory/client is deemed to be the employer of the contractor/labour broker's employees.

However, for the COID letter, the following will prevail for tender purposes only in case a letter of good standing is not submitted

Contractor/supplier can submit the following:

- An insurance letter equivalent to a COID letter as surety. It should cover accidental death and disability cover to the minimum value of R500 000 (Applicable to companies that do not have employees)
- Proof of assessment by the Compensation Commissioner and proof of payment by supplier
- For international suppliers, the equivalent from the country of origin of the supplier based. For a supplier whose country does not issue such certificate equivalent to COID, the relevant legislation must be submitted. However, if the supplier has offices in South Africa and has employed South African citizens, a COID certificate must be submitted.

3. SHE Requirements Explanation

Requirements	Explanation
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1. Acknowledgement of Eskom's rules and requirements (Annexure B)	Ensure that all applicable rules and requirements are referenced in this form in order for the supplier to acknowledge and comply with them. Ensure that this completed form is included in the enquiry procurement package. To be signed and submitted by the tenderer.
2. Baseline SHE Risk Assessment	Refers to the SHE hazards/aspect and risks/impact that are identified and assessed before the inception of a new project and commencement of operations. The baseline risk assessment shall include both routine and non-routine tasks.
3. Competency	A person who, in respect to the work that has to be done, has the required training, knowledge and experience, and, where applicable, qualifications relevant to that work or task. Provided that where appropriate qualifications and training are registered in terms of the provisions of the National Qualifications Framework Act, No 67 of 2008, those qualifications and training must be regarded as the required qualifications and training, and is familiar with the Act and applicable regulations made under the Act. - First aider (If or when applicable) - SHE professional (If or when applicable) - Incident investigator (If or when applicable)
4. Environmental Management Plan	The applicable detailed contract-specific/scope-of-work-specific environmental requirements that will be complied with and managed by the tenderer.
5. Safety, Health and Environmental plan	Means a site, activity or project documented plan in accordance with the clients SHE requirements. The plan must be scope or project based. The plan must reflect an organised system (method statements, processes, resources etc) which the supplier will comply with and enforce to manage the SHE risk during the lifecycle of the project. This can also be in the form of an OHS manual. OR For contractors/suppliers with a few employees, a SHE method statement must be submitted.
6. Valid letter of good standing	Registration with the Compensation Commissioner (COLD) or a licenced mutual company or an equivalent of it (for international bidders). If a company has only one employee (CEO, owner), the supplier shall submit an insurance letter that covers accidental death and disability to the value of R500 000 as a minimum.

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7. SHE policy	A statement of intention by the employer which provides a framework for setting SHE objectives to improve SHE performance and also emphasises management commitment to employees' wellbeing and duty of care to the environment.
8. Costing for Safety, Health and Environmental Management	Has the tenderer submitted costing for SHE management activities? SHE costing must reflect the amount of funds that will be allocated for SHE when the project commences (This is a breakdown of the bulk SHE costing in the bill of quantities) and it should be based on the scope of work and the associated risk. The items to be included are not limited to the following: PPE, SHE training, SHE professionals, First aid equipment, Ablution facilities, Safety signs, safety interventions, SHE equipment/instruments, Medical examinations etc

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